

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO Box 1430 Alexandria, Virginia 22313-1450 www.tepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/531,076	11/14/2005	Adam Rubin	10733.0002	2551	
22852 FINNEGAN 1	7590 01/21/201 HENDERSON FARAF	1 BOW, GARRETT & DUNNER	EXAM	MINER	
ULP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413			NOGUEROLA, ALEXANDER STEPHAN		
			ART UNIT	PAPER NUMBER	
			1759	•	
			MAIL DATE	DELIVERY MODE	
			01/21/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.	Applicant(s)
10/531,076	RUBIN ET AL.
Examiner	Art Unit
ALEX NOGUEROLA	1759

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS,

- WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed
- after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any

	eamed p	atent term	adjustment.	566 37	CFR	1.7U4(D).
Stat	115					

1	Responsive to communication(s) filed on 20 December 2010.					
2a)⊠ This action is FINAL . 2b) ☐ This action is non-final.					
3	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disp	osition of Claims					
4) Claim(s) 1 is/are pending in the application.					
	4a) Of the above claim(s) is/are withdrawn from consideration.					
	Claim(s) is/are allowed.					
	Claim(s) is/are rejected.					
	Claim(s) 1 is/are objected to.					
8	Claim(s) are subject to restriction and/or election requirement.					
Appi	ication Papers					
9	☐ The specification is objected to by the Examiner.					
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.					
	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Prior	ity under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).					
	a) ☑ All b) ☐ Some * c) ☐ None of:					
	 Certified copies of the priority documents have been received. 					
	Certified copies of the priority documents have been received in Application No					
	3. Copies of the certified copies of the priority documents have been received in this National Stage					
	application from the International Bureau (PCT Rule 17.2(a)).					
	* See the attached detailed Office action for a list of the certified copies not received.					
Attach	mment(s)					
	Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)					
	Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date Notice of Informal Patent Application					
	Paper No(s)/Mail Date 12/20/2010.					
	t and Trademark Office 26 (Rev. 08-06)					
, OL-J	Tat or aper work and bare 2011 of 19					

Page 2

Application/Control Number: 10/531,076

Art Unit: 1759

DETAILED ACTION

Response to Amendment

1. Applicant's amendment received on December 20, 2010 seeks to amend the specification by inserting the passage in page 39 line 15 to page 43, line 3 of Danish Patent Application No. PA 2002 00875 after the paragraph at page 5, lines 24-31 of the instant application being examined. The Examiner will only allow this amendment to be made if it does not include the last sentence of the passage, which is on page 43, lines 1-3, and which states, "Further information about the production and use of guinones can be found in DK PA 2002 00153 and WO 96/31557, which are hereby incorporated by reference." Not only would this sentence be, apparently, an incorporation of essential subject matter by reference to a foreign patent application and a foreign patent, more importantly, it would effectively incorporate by reference an incorporation by reference, incorporate by reference two documents never specifically cited in the original disclosure of the instant application being examined, and, lastly, potentially allow in further removed incorporations by references that may be present in DK PA 2002 00153 and WO 96/31557, if not allow in both the entire Danish application and WO patent. In other words, this sentence is new matter and would allow in more new matter to the original disclosure for the instant application being examined. A newly

Application/Control Number: 10/531,076 Page 3

Art Unit: 1759

filed application should be as complete as reasonably possible, it should not rely on chains of incorporation of references to be enabled.

Status of the Objections and Rejections pending since the Office action mailed on July 20, 2010

2. All previous objections and rejections are withdrawn.

Claim Objections

Claim 1 objected to because of the following informality: there appears to be a
period (".") just after compound XVII, which is before the end of the claim. Appropriate
correction is required.

Application/Control Number: 10/531,076
Art Unit: 1759

Allowable Subject Matter

Claim 1 is allowed, but note claim objection above.

Final Rejection

 Applicant's amendment necessitated the new grounds of objection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Art Unit: 1759

6. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to ALEX NOGUEROLA whose telephone number is (571) 272-

1343. The examiner can normally be reached on M-F 8:30 - 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, ALEXA NECKEL can be reached on (571) 272-1446. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

 $system, see \ http://pair-direct.uspto.gov. \ Should \ you \ have \ questions \ on \ access \ to \ the \ Private \ PAIR$

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Alex Noguerola/

Primary Examiner, Art Unit 1759

January 19, 2011